

US008791530B2

(12) United States Patent Bibl et al.

(10) Patent No.: US 8,791,530 B2 (45) Date of Patent: Jul. 29, 2014

(54) COMPLIANT MICRO DEVICE TRANSFER HEAD WITH INTEGRATED ELECTRODE LEADS

- (75) Inventors: Andreas Bibl, Los Altos, CA (US);

 Dariusz Golda, Redwood City, CA (US)
- (73) Assignee: LuxVue Technology Corporation,

Santa Clara, CA (US)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

- (21) Appl. No.: 13/605,959
- (22) Filed: **Sep. 6, 2012**

(65) Prior Publication Data

US 2014/0064904 A1 Mar. 6, 2014

(51) **Int. Cl. H01L 27/14** (2006.01)

2) U.S. Cl.

USPC **257/414**; 257/415 (58) Field of Classification Search

CPC H01H 59/009; H01H 1/0036; H01H 1/20; H01H 35/14; H01H 2037/008; H01H 2059/0018; H01H 2059/0081

USPC257/414, 415; 438/48 See application file for complete search history.

(56) References Cited

U.S. PATENT DOCUMENTS

4,837,176	Α	6/1989	Zdebel et al.
5,067,002	A	11/1991	Zdebel et al.
5,110,752	A	5/1992	Lu
5,266,514	A	11/1993	Tuan et al.
5,493,470	A *	2/1996	Zavracky et al 438/53
5.592.358	Α	1/1997	Shamouilian et al.

5,640,133	A	6/1997	MacDonald et al.
5,745,331	A	4/1998	Shamouilian et al.
5,771,253	A	6/1998	Chang-Hasnain et al.
5,839,187	A	11/1998	Sato et al.
5,851,664	A	12/1998	Bennett et al.
5,888,847	A	3/1999	Rostoker et al.
5,903,428	A	5/1999	Grimard et al.
5,996,218	A	12/1999	Shamouilian et al.
6,071,795	A	6/2000	Cheung et al.
6,335,263	B1	1/2002	Cheung et al.
6,379,929	B1	4/2002	Burns et al.
6,403,985	B1	6/2002	Fan et al.
6,420,242	B1	7/2002	Cheung et al.
6,521,511	B1	2/2003	Inoue et al.
6,558,109	B2	5/2003	Gibbel
6,613,610	B2	9/2003	Iwafuchi et al.

(Continued)

FOREIGN PATENT DOCUMENTS

JP	07-060675 A	3/1995
JP	3406207	5/1999

(Continued)

OTHER PUBLICATIONS

Asano, Kazutoshi, et al., "Fundamental Study of an Electrostatic Chuck for Silicon Wafer Handling" IEEE Transactions on Industry Applications, vol. 38, No. 3, May/Jun. 2002, pp. 840-845.

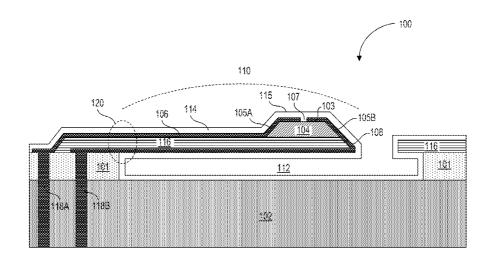
(Continued)

Primary Examiner — Duy T Nguyen (74) Attorney, Agent, or Firm — Blakely Sokoloff Taylor & Zafman LLP

(57) ABSTRACT

A compliant micro device transfer head and head array are disclosed. In an embodiment a micro device transfer head includes a spring arm having integrated electrode leads that is deflectable into a space between a base substrate and the spring arm.

21 Claims, 12 Drawing Sheets



(56)		Referen	nces Cited		/0188794 A1		Park et al.	
	U.S.	PATENT	DOCUMENTS	2010	/0203659 A1 /0203661 A1 /0248484 A1	8/2010	Akaike et al. Hodota Bower et al.	
6,629,553			Odashima et al.	2010	/0276726 A1	11/2010	Cho et al.	
6,670,038			Sun et al. Yang et al.		/0003410 A1 /0049540 A1		Tsay et al. Wang et al.	
6,786,390 6,813,291			Wang et al.		/0121462 A1	5/2011		
6,825,105			Grover et al.		/0151602 A1	6/2011		
6,878,607	B2		Inoue et al.		/0159615 A1 /0210357 A1	6/2011	Laı Kaiser et al.	
7,033,842 7,148,127			Haji et al. Oohata et al.		/0064642 A1		Huang et al.	
7,208,337			Eisert et al.	2012	/0134065 A1	5/2012	Furuya et al.	
7,353,596		4/2008	Shida et al.	2013	/0126891 A1	5/2013	Bibl et al.	
7,358,158			Aihara et al.		EODEIC	NI DATE	NT DOCUM	DNTC
7,585,703 7,723,764			Matsumura et al. Oohata et al.		FOREIG	NPALE	NT DOCUM	EN13
7,785,481	B2	8/2010	Wang	JР	2001-298	072 A	10/2001	
7,795,629		9/2010	Watanabe et al.	JP	2001-353	682 A	12/2001	
7,797,820 7,829,366			Shida et al. Miller et al.	JР	2002-134		5/2002	
7,829,300			Hirao et al.	JP JP	2002-164 2002-176		6/2002 6/2002	
7,854,365	B2	12/2010	Li et al.	JР	2002-240		8/2002	
7,880,184			Iwafuchi et al.	JP	2004-079		3/2004	
7,884,543 7,888,690		2/2011	Iwafuchi et al.	JP JP	2004-095		3/2004	
7,906,787		3/2011		JР	2008-200 2010-056		9/2008 3/2010	
7,910,945	B2		Donofrio et al.	JP	2010-186		8/2010	
7,927,976			Menard	KR	10-2002-0022		3/2002	
7,928,465 7,929,195			Lee et al. Bifano	KR KR	10-2004-0030 10-0610		4/2004 8/2006	
7,943,497		5/2011		KR	10-2007-0042		4/2007	
7,972,875			Rogers et al.	KR	10-2007-0093		9/2007	
7,989,266 7,999,454			Borthakur et al. Winters et al.	KR	10-0973		8/2010	
8,023,248			Yonekura et al.	KR KR	10-1001 10-2007-0006		12/2010 1/2011	
8,333,860	B1		Bibl et al.	KR	10-2011-0075		7/2011	
8,349,116			Bibl et al.	KR	10-2011-0084		7/2011	
8,415,767 8,415,768			Golda et al. Golda et al.	WO WO	WO 2005-099 WO 2011/123		10/2005 10/2011	
2001/0029088		10/2001	Odajima et al.	WO	WO 2011/123	203	10/2011	
2002/0061042		5/2002	Wang et al 372/43		OTH	HER PU	BLICATION	S
2002/0076848 2002/0168671			Spooner et al. Burns et al.	Darran	CA stal "Ast	irra Matui	v OLED Disula	vy Do alemian an Hain a
2002/0103071			Hsu et al					y Backplanes Using ", IEEE, 2010 Elec-
2002/0172969	A1	11/2002	Burns et al.					, pp. 1339-1343.
2003/0010975 2003/0022474			Gibb et al. Grover et al.					Advanced Materials
2003/0022474			Kapon et al.					aboratory, 2000, pp.
2003/0177633			Haji et al.					ptech_e/2000p51_
2004/0056307			Cho et al.	e.pdf.	_		_	-
2004/0124490 2004/0161943			Bohr et al. Ren et al.					er Technology for
2004/0232439			Gibb et al.					ctromechanical Sys-
2005/0210988			Amano et al.		vol. 17, No. 1, Fe			Due of ACID 206
2005/0232728 2006/0065905			Rice et al. Eisert et al.		1111-Koo, AM da 12, New Delhi, p			Proc. of ASID '06,
2006/0157721			Tran et al.					Ceramics for High-
2006/0160276			Brown et al.					Ainerals, Metals and
2006/0214299			Fairchild et al.		als Society, vol. :			
2007/0048902 2007/0166851			Hiatt et al. Tran et al.	Horwi	tz, Chris M., "El	ectrostati	c Chucks: Freq	uently Asked Ques-
2007/0194330			Ibbetson et al.		C 1			ww.electrogrip.com.
2008/0048520			Gulvin et al.					mate energy density
2008/0163481 2008/0194054		7/2008 8/2008	Shida et al. Lin et al.		-		" Proceedings	of CARTS Europe,
2008/0194034			Shinya et al.		ona, Spain, 2007		1 fobrication of	GAN-based vertical
2008/0283190	A1	11/2008	Papworth et al.					onding material sys-
2008/0303038			Grotsch et al.	-	emicond. Sci. Te	-		onding material by b
2009/0068774 2009/0146303		6/2009	Slater et al. Kwon	"Majo	r Research Thrus	t: Epitaxi	al Layer Transf	er by Laser Lift-off"
2009/0301176			Rowe et al 73/64.53					n Research Group,
2009/0303713			Chang et al.	access				edu/HetInt/project_
2009/0314991			Cho et al. Sansoni et al.		al_layer_transfo 'egun_et_al_ "L			Aug. 2003. s" Hewlett-Packard
2010/0039747 2010/0046134			Mizuno et al.		l, Article 10, Aug			5 Howieu-Lackaru
2010/0105172			Li et al.	Merca	do, Lei, L., et al.	, "A Mec	hanical Approa	ch to Overcome RF
2010/0142114			Purohit et al.	MEMS	Switch Stiction	Problem	" 2003 Electron	nic Components and
2010/0149720	Al	6/2010	Fujisawa et al.	Techno	ology Conference	e, pp. 377	-384.	

(56) References Cited

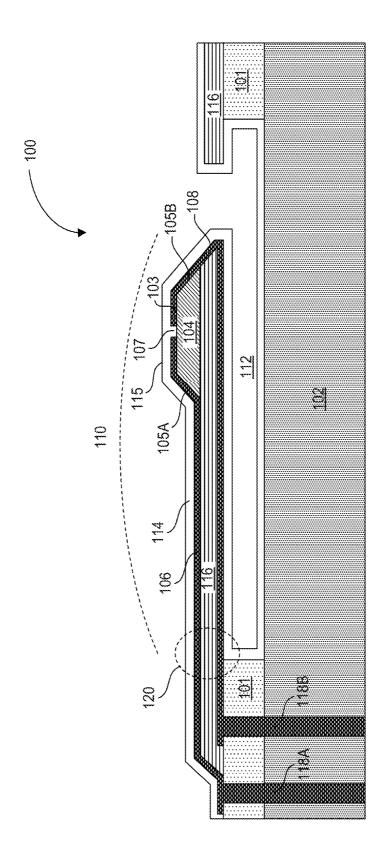
OTHER PUBLICATIONS

Miskys, Claudio R., et al., "Freestanding GaN-substrates and devices" phys. Stat. sol. © 0, No. 6, 2003, pp. 1627-1650. "Principles of Electrostatic Chucks: 1—Techniques for High Performance Grip and Release" ElectroGrip, Principles 1 rev3 May 2006, 2 pgs, accessed at www.electrogrip.com.

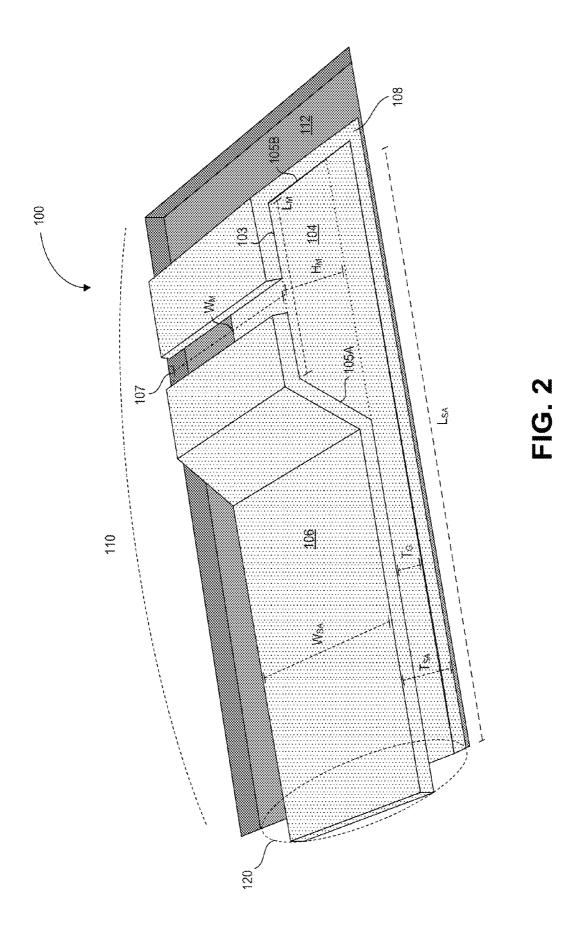
Steigerwald, Daniel, et al., "III-V Nitride Semiconductors for High-Performance Blue and Green Light-Emitting Devices" article appears in journal JOM 49 (9) 1997, pp. 18-23. Article accessed Nov. 2, 2011 at http://www.tms.org/pubs/journals/jom/9709/setigerwald-9709.html, 12 pgs.

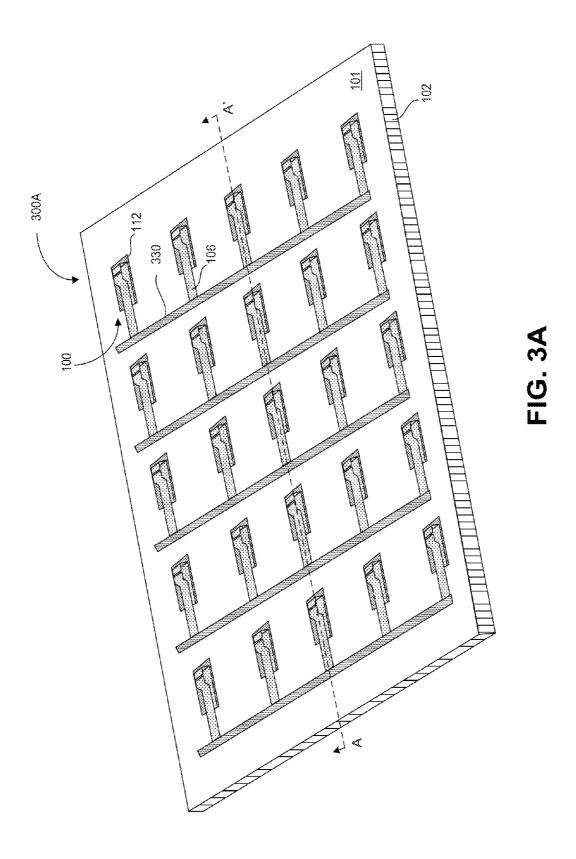
Widas, Robert, "Electrostatic Substrate Clamping for Next Generation Semiconductor Devices" Apr. 21, 1999, 4 pgs.

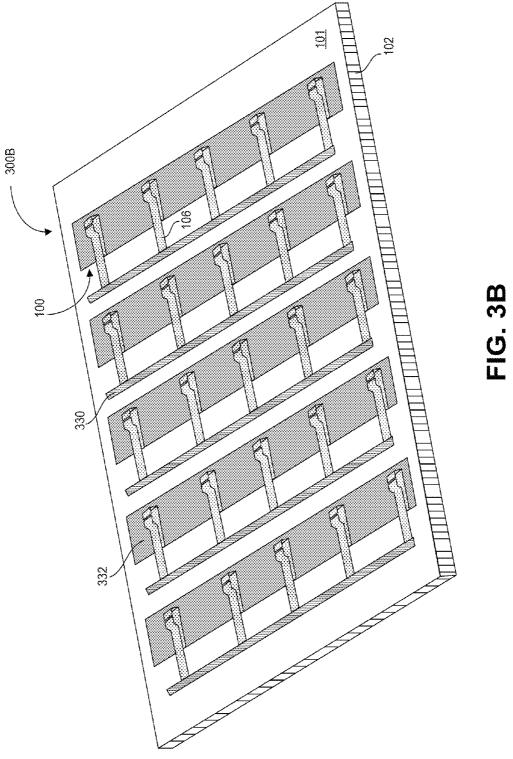
* cited by examiner

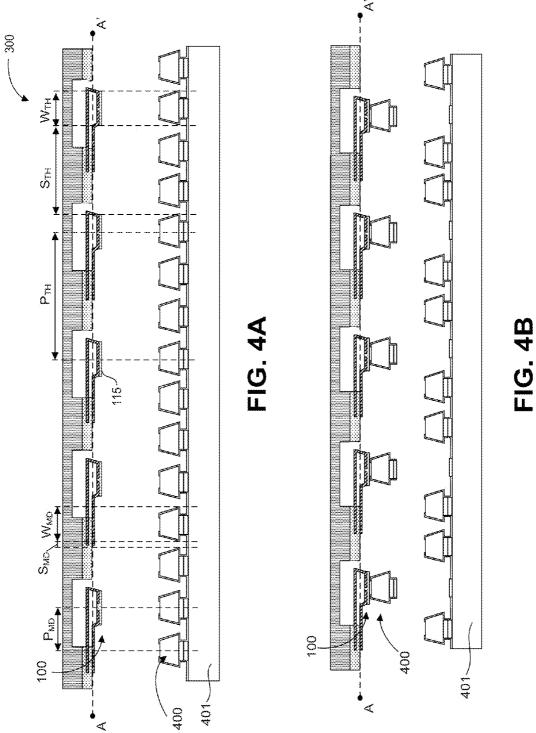


10.7 10.7









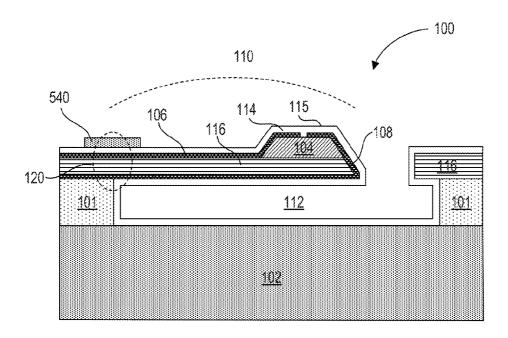


FIG. 5A

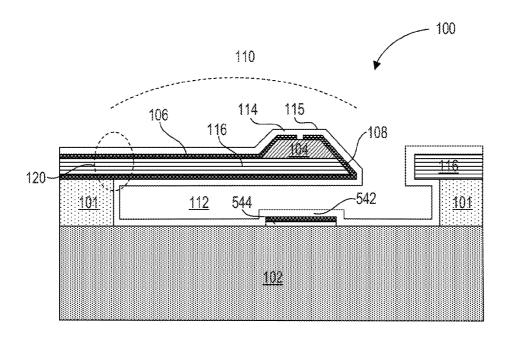


FIG. 5B

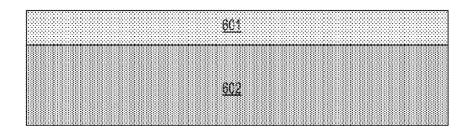


FIG. 6A

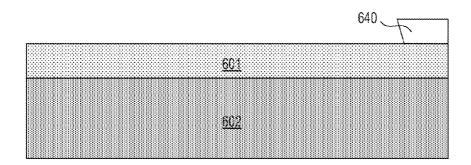


FIG. 6B

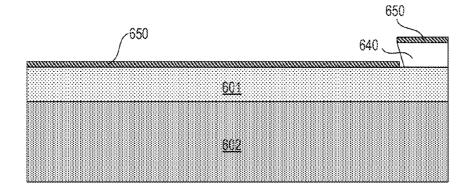


FIG. 6C

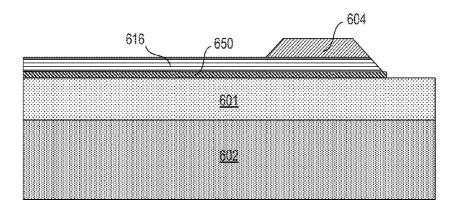


FIG. 6D

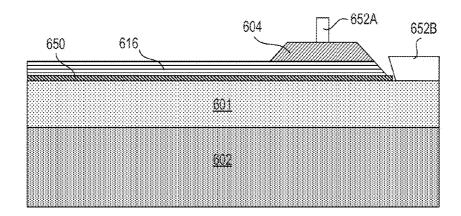


FIG. 6E

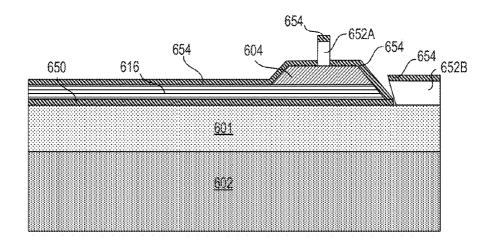


FIG. 6F

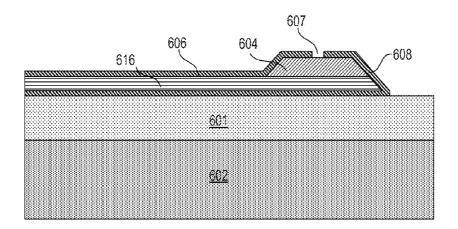
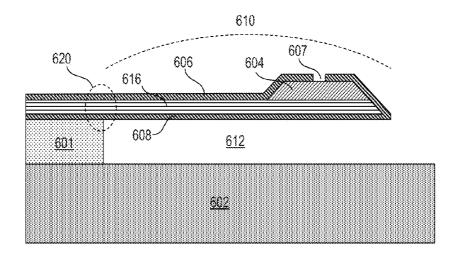


FIG. 6G



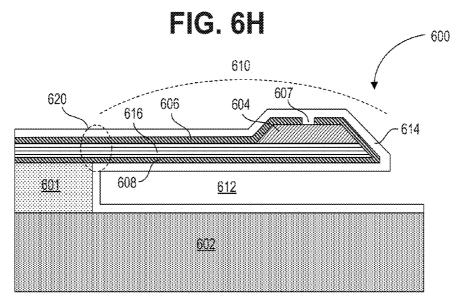


FIG. 61

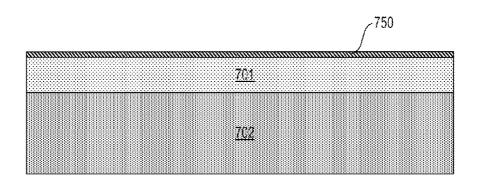


FIG. 7A

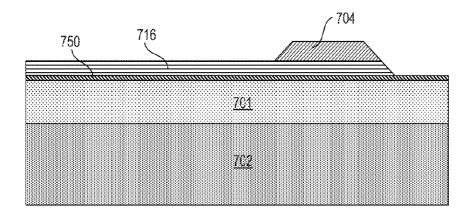


FIG. 7B

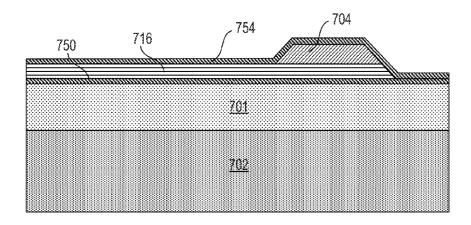


FIG. 7C

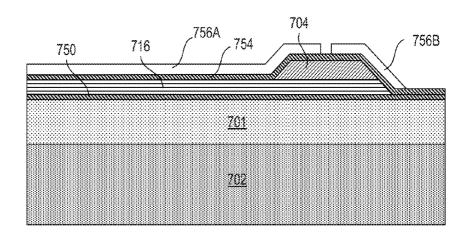


FIG. 7D

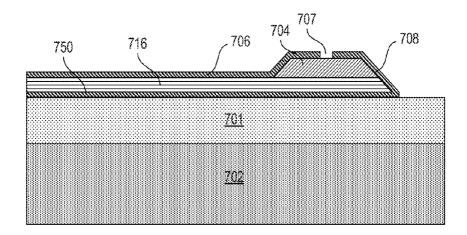


FIG. 7E

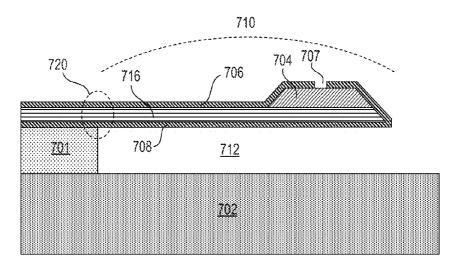


FIG. 7F

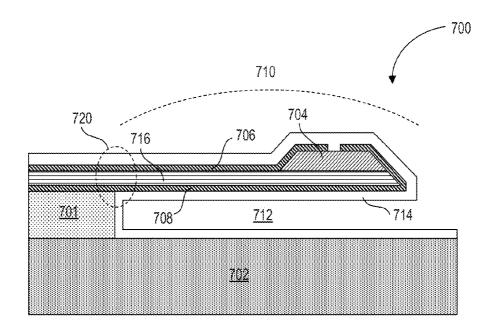


FIG. 7G

COMPLIANT MICRO DEVICE TRANSFER HEAD WITH INTEGRATED ELECTRODE **LEADS**

BACKGROUND

1. Field

The present invention relates to micro devices. More particularly, embodiments of the present invention relate to a compliant micro device transfer head and transfer head array and a method for forming a compliant micro device transfer head and transfer head array.

2. Background Information

Integration and packaging issues are one of the main obstacles for the commercialization of micro devices such as 15 integration of radio frequency (RF) microelectromechanical systems (MEMS) microswitches, light-emitting diode (LED) integration onto image display systems, and MEMS or quartz-based oscillators.

Traditional technologies for transferring of devices include 20 transfer by wafer bonding from a transfer wafer to a receiving wafer. One such implementation is "direct printing" involving one bonding step of an array of devices from a transfer wafer to a receiving wafer, followed by removal of the transfer wafer. Another such implementation is "transfer printing" 25 involving two bonding/debonding steps. In transfer printing a transfer wafer may pick up an array of devices from a donor wafer, and then bond the array of devices to a receiving wafer, followed by removal of the transfer wafer.

Some printing process variations have been developed 30 where a device can be selectively bonded and debonded during the transfer process. Still, in both traditional and variations of the direct printing and transfer printing technologies, the transfer wafer must be debonded from a device after bonding the device to the receiving wafer. In addition, the 35 entire transfer wafer with the array of devices is involved in the transfer process.

SUMMARY OF THE INVENTION

A compliant micro device transfer head and head array and method of forming a compliant micro device transfer head and head array are disclosed. In an embodiment, the micro device transfer head includes a base substrate, a spring anchor coupled to the base substrate, a spring arm coupled to the 45 spring anchor, and a dielectric layer. In an embodiment, the spring arm includes a mesa structure suspended over the base substrate, a top electrode extending laterally from the spring anchor and over the mesa structure, and a bottom electrode extending laterally from the spring anchor underneath the 50 mesa structure and wrapping around and over the mesa structure. In an embodiment, the dielectric layer covers the top electrode and the bottom electrode over the mesa structure.

The spring arm may further include a template filling an electrode, where the template includes the mesa structure. The template may be formed from an insulating material, such as silicon dioxide, nitride, or air. The top electrode and the bottom electrode may be metal. In an embodiment, the dielectric layer covers a top surface of the top electrode. The 60 micro device transfer head may further include a strain sensor formed over the dielectric layer at an interface of the spring anchor and the spring arm. The mesa structure may be suspended over an underlying space. In an embodiment, the spring arm is coupled to the base substrate via a dielectric 65 layer. The underlying space may be a cavity in the dielectric layer. The micro device transfer head may additionally

include a sensor electrode on a bottom surface of the underlying space, such that the sensor electrode is vertically aligned with the mesa structure. The micro device transfer head may further include routing in the base substrate, 5 wherein the routing is electrically connected to the top electrode and bottom electrode.

In an embodiment, a method for forming a transfer head includes forming a dielectric layer over a substrate, forming a first electrode layer over the dielectric layer, forming a template on the first electrode layer, where the template includes a mesa structure, forming a second electrode layer over the template, patterning the second electrode layer and first electrode layer to form a bottom electrode and a top electrode, and removing a portion of the dielectric layer from underneath the bottom electrode to form a spring arm coupled to the substrate by a spring anchor. The spring arm may include the mesa structure, such that the mesa structure is suspended over the substrate, the top electrode extending laterally from the spring anchor and over the mesa structure, and the bottom electrode extending laterally from the spring anchor underneath the mesa structure and wrapping around and over the mesa structure.

In an embodiment, patterning the second electrode layer and first electrode layer includes forming a first negative pattern on the substrate defining a first portion of a bottom electrode, sputtering the first electrode layer over the substrate and the first negative pattern, and removing the first negative pattern along with portions of the first electrode layer formed on the first negative pattern. In an embodiment, patterning the second electrode layer and first electrode layer includes, forming a second negative pattern on the template, wherein the second negative pattern defines the top electrode and a second portion of the bottom electrode, forming the second electrode layer over the template and the second negative pattern, and removing the second negative pattern along with portions of the second electrode layer formed over the second negative pattern. In another embodiment, patterning the second electrode layer and first electrode layer includes forming a pattern to define a first portion of the bottom electrode in the first electrode layer and patterning to remove exposed portions of the first electrode layer to form the first portion of the bottom electrode. In another embodiment, patterning the second electrode layer and first electrode layer includes forming a pattern to define the top electrode and a second portion of the bottom electrode in the second electrode layer and patterning to remove exposed portions of the second electrode layer to form the second portion of the bottom electrode. The template may be formed from an insulating material or from photoresist. The method may further include removing the template. In an embodiment, the method further includes forming a dielectric layer covering the top electrode and the bottom electrode over the mesa structure.

In an embodiment of the invention, a micro device transfer internal volume defined by the top electrode and the bottom 55 head array includes a base substrate and an array of transfer heads. In an embodiment, each transfer head includes a spring anchor coupled to the base substrate, a spring arm coupled to the spring anchor, and a dielectric layer covering the top electrode and the bottom electrode over the mesa structure. In an embodiment, the spring arm includes a mesa structure suspended over the base substrate, a top electrode extending laterally from the spring anchor and over the mesa structure, and a bottom electrode extending laterally from the spring anchor underneath the mesa structure and wrapping around and over the mesa structure. The micro device transfer head array may further include routing in the base substrate, wherein the routing is electrically connected to the top elec-

trode and bottom electrode. The micro device transfer head array may further include a conductive ground plane formed over the dielectric layer and surrounding each of the transfer heads.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a cross-sectional side view illustration of a micro device transfer head in accordance with an embodiment of the invention.

FIG. 2 is an isometric illustration of a micro device transfer head in accordance with an embodiment of the invention.

FIGS. 3A-3B are isometric illustrations of a micro device transfer head array in accordance with an embodiment of the invention.

FIGS. 4A-4B are cross-sectional side view illustrations of an array of micro device transfer heads picking up an array of micro LED devices in accordance with an embodiment of the invention

FIGS. 5A-5B are cross-sectional side view illustrations of 20 sensor components of a micro device transfer head in accordance with an embodiment of the invention.

FIGS. 6A-6I are cross-sectional side view illustrations of a method for forming a micro device transfer head in accordance with an embodiment of the invention.

FIGS. 7A-7G are cross-sectional side view illustrations of sensor components of a cantilever micro device transfer head in accordance with an embodiment of the invention.

DETAILED DESCRIPTION OF THE INVENTION

Embodiments of the present invention describe a compliant micro device transfer head and head array, and a method of formation. In various embodiments, description is made with reference to figures. However, certain embodiments may 35 be practiced without one or more of these specific details, or in combination with other known methods and configurations. In the following description, numerous specific details are set forth, such as specific configurations, dimensions and processes, etc., in order to provide a thorough understanding 40 of the present invention. In other instances, well-known semiconductor processes and manufacturing techniques have not been described in particular detail in order to not unnecessarily obscure the present invention. Reference throughout this specification to "one embodiment," "an embodiment" or the 45 like means that a particular feature, structure, configuration, or characteristic described in connection with the embodiment is included in at least one embodiment of the invention. Thus, the appearances of the phrase "in one embodiment," "an embodiment" or the like in various places throughout this 50 specification are not necessarily referring to the same embodiment of the invention. Furthermore, the particular features, structures, configurations, or characteristics may be combined in any suitable manner in one or more embodi-

The terms "over", "to", "between" and "on" as used herein may refer to a relative position of one layer with respect to other layers. One layer "over" or "on" another layer or bonded "to" another layer may be directly in contact with the other layer or may have one or more intervening layers. One layer "between" layers may be directly in contact with the layers or may have one or more intervening layers.

The terms "micro" device or "micro" LED structure as used herein may refer to the descriptive size of certain devices or structures in accordance with embodiments of the invention. As used herein, the terms "micro" devices or structures are meant to refer to the scale of 1 to 100 µm. However, it is to

4

be appreciated that embodiments of the present invention are not necessarily so limited, and that certain aspects of the embodiments may be applicable to larger, and possibly smaller size scales. In an embodiment, a single micro device in an array of micro devices, and a single electrostatic transfer head in an array of electrostatic transfer heads both have a maximum dimension, for example length or width, of 1 to 100 μm . In an embodiment, the top surface of the transfer head has a maximum dimension of 3 to 10 μm . In an embodiment a pitch of an array of micro devices, and a corresponding array of electrostatic transfer heads is (1 to 100 μm) by (1 to 100 μm), for example a 10 μm by 10 μm pitch or 5 μm by 5 μm pitch.

In one aspect, embodiments of the invention describe an array of compliant transfer heads that may be used for mass transfer of an array of pre-fabricated micro devices. For example, the pre-fabricated micro devices may have a specific functionality such as, but not limited to, an LED for light-emission, silicon IC for logic and memory, and gallium arsenide (GaAs) circuits for radio frequency (RF) communications. In some embodiments, arrays of micro LED devices, which are poised for pick up, are described as having a 10 μm by 10 μm pitch, or 5 μm by 5 μm pitch. At these densities a 6 25 inch substrate, for example, can accommodate approximately 165 million micro LED devices with a 10 µm by 10 µm pitch, or approximately 660 million micro LED devices with a 5 µm by 5 μm pitch. A transfer tool including an array of transfer heads matching an integer multiple of the pitch of the corresponding array of micro LED devices can be used to pick up and transfer the array of micro LED devices to a receiving substrate. For example, the micro device may be contacted with the transfer head and a voltage applied to a pair of electrodes in the transfer head to create a grip pressure on the micro device. The transfer head picks up the micro device and then releases the micro device onto a receiving substrate. In this manner, it is possible to integrate and assemble micro LED devices into heterogeneously integrated systems, including substrates of any size ranging from micro displays to large area displays, and at high transfer rates. For example, a 1 cm by 1 cm array of micro device transfer heads can pick up and transfer more than 100,000 micro devices, with larger arrays of micro device transfer heads being capable of transferring more micro devices. Each compliant transfer head in the array of compliant transfer heads may also be independently controllable, which enables selective pick up and release of the micro devices.

In another aspect, the spring arm can compensate for variations in height of the micro devices or for particulate contamination on top of a micro device. For example, one end of the spring arm is anchored to the substrate by a spring anchor, while the opposing end of the spring arm is suspended over the substrate. A spring arm associated with a taller or contaminated micro device may deflect towards the substrate more than a spring member associated with a shorter micro device in an array of micro devices, so that each compliant transfer head may make contact with each micro device, ensuring that each intended micro device is picked up.

In another aspect, the electrode components of the transfer head are an integral part of the compliant transfer head structure. For example, a top and bottom electrode function both structurally, to enable the compliant spring effect of the spring arm portion of the transfer head, and electrically, to generate the electrostatic grip pressure that picks up the micro device. In an embodiment, the electrodes solely determine the structural and deflection characteristics of the spring arm. In another embodiment, the volume between the top electrode

and bottom electrode is filled with a gap material that also contributes to the structural and deflection characteristics of the spring arm.

In an embodiment, the spring arm includes two electrodes:
a top electrode extending from the spring anchor and over a
mesa structure, and a bottom electrode extending from the
spring anchor, underneath the mesa structure, and wrapping
around and over the mesa structure. In this manner, a bipolar
electrode is formed on the top surface of the mesa structure.
The top surface of the mesa structure is sized to correspond to
the area of the top surface of the micro devices to be picked
up. A dielectric layer is formed over the top and bottom
electrodes over the mesa structure to provide a suitable surface for contacting a micro device with the desired grip pressure

Each transfer head may additionally include a sensor, which can monitor an amount of deflection of the spring arm when the transfer head is brought into contact with a micro device, enabling detection of whether contact has been made with a micro device, whether a micro device is irregularly 20 shaped or contaminated, or whether a micro device is attached to the transfer head and has successfully been picked up.

In another aspect, a method for forming the transfer head includes forming electrodes over a template comprising a 25 mesa structure. In an embodiment, the template is retained as part of the transfer head. In another embodiment, the template is removed, resulting in a hollow transfer head defined by the top and bottom electrodes. The electrodes can be patterned, for example, by a negative liftoff method, where the metal 30 electrode material is formed over a photoresist pattern, which is then removed to leave metal where no photoresist was formed. The electrodes can alternatively be patterned formed by deposition and patterning, for example, by conventional lithography.

Referring now to FIG. 1, a cross-sectional side view of a compliant transfer head 100 is illustrated in accordance with an embodiment of the invention. The transfer head 100 includes a spring arm 110 coupled to dielectric layer 101 on base substrate 102 via spring anchor 120. Spring arm 110 40 includes a top electrode 106, a bottom electrode 108, and a mesa structure 104 having a top surface 103 and tapered sidewalls 105A/105B. Top electrode 106 extends laterally from the spring anchor 120 and over the top surface 103 of a mesa structure 104. Bottom electrode 108 extends laterally from the spring anchor 120, underneath the mesa structure 104 and wraps around and over the top surface 103 of mesa structure 104. A dielectric layer 114 covers the top electrode 106 and bottom electrode 108 on the top surface 103 of mesa structure 104.

Base substrate 102 may be formed from a variety of materials such as silicon, ceramics and polymers that are capable of providing structural support. Base substrate 102 may have a conductivity between 10³ and 10¹⁸ ohm-cm. In an embodiment, base substrate 102 has a dielectric layer 101 disposed 55 thereon. In an embodiment, dielectric layer 101 electrically isolates bottom electrode 108 from a semiconductor substrate 102. Dielectric layer 101 may be any appropriate dielectric material, for example silicon dioxide or silicon nitride. In an embodiment, the thickness of dielectric layer 101 is selected 60 to correspond to the desired depth of space 112. For example, the thickness of dielectric layer 101 may be from 0.5 µm to 2 µm. In an embodiment, space 112 is a cavity in dielectric layer 101. In another embodiment, space 112 is a cavity in substrate

In an embodiment, base substrate 102 and dielectric layer 101 include routing, such as through vias 118A, 118B, to

6

connect the micro device transfer head 100 to the working electronics of an electrostatic gripper assembly. In an embodiment, a through via 118A connects to top electrode 106 and a through via 118B connects bottom electrode 108, as shown in FIG. 1. It is to be understood that base substrate 102 and dielectric layer 101 may include additional routing beyond what is illustrated in FIG. 1.

In an embodiment, top electrode 106 and bottom electrode 108 wrap around a template comprising gap material 116 and mesa structure 104. In an embodiment, gap material 116 extends from spring anchor 120, separating bottom electrode 108 from top electrode 106. In an embodiment, the gap material 116 possesses a dielectric strength greater than the applied electric field so as to avoid shorting between top electrode 106 and bottom electrode 108 during operation of the transfer head. As such, gap material 116 may be formed from a variety of materials including photoresist, polyimide, amorphous silicon, polysilicon, or dielectric materials, for example inorganic dielectrics such as silicon dioxide and silicon nitride, or organic dielectrics such as benzocyclobutene (BCB). In an embodiment, gap material 116 is filled with air. The thickness of gap material 116 may depend on a number of factors, such as the dimensions of the electrodes, the amount of deflection of the spring arm, or the voltage applied to the electrodes during a pickup operation. In an embodiment, gap material 116 is from 2 µm to 5 µm thick. In accordance with the illustrated embodiment, gap material 116 covers the surface of dielectric layer 101 that surrounds space 112, such as that shown adjacent to the suspended end of spring arm 110.

Mesa structure 104 is suspended above space 112, opposite the spring anchor 120 on spring arm 110, according to an embodiment of the invention. In an embodiment, mesa structure 104 protrudes away from base substrate 102. Mesa structure 104 has top surface 103, which may be planar, and tapered sidewalls 105A/105B. In an embodiment, sidewalls 105A/105B may be tapered up to 10 degrees, for example. Tapering the sidewalls 105A/105B may be beneficial in forming the electrodes 106/108 as described further below. Mesa structure 104 may be formed from the same or different material than gap material 116. In an embodiment, mesa structure 104 is a patterned oxide layer, such as silicon dioxide. In another embodiment, mesa structure 104 is filled with air.

The dimensions of mesa structure 104 may depend upon the specific dimensions of the micro devices to be picked up, as well as the thickness of any layers formed over the mesa structure. The specific dimensions of mesa structure 104 will be discussed below with reference to FIG. 2. In an embodiment, the height, width, and planarity of an array of mesa structures on a base substrate are uniform across the base substrate so that each micro device transfer head is capable of making contact with each corresponding micro device during the pick up operation. In an embodiment, the surface area of the top surface 115 of each micro device transfer head 100 is slightly larger, approximately the same, or less than the surface area of the top surface of the each micro device in the corresponding micro device array so that a transfer head does not inadvertently make contact with a micro device adjacent to the intended corresponding micro device during the pick up operation. Since additional layers may be formed over the mesa structure 104 (e.g. electrodes 106/108, and dielectric layer 114) the surface area of the mesa structure may account for the thickness of the overlying layers so that the surface area of the top surface 115 of each micro device transfer head is slightly larger, approximately the same, or less than the

surface area of the top surface of the each micro device in the corresponding micro device array.

In an embodiment, top electrode 106 covers a portion of top surface 103 of mesa structure 104, runs down sidewall 105A of the mesa structure, and along the top surface of gap mate- 5 rial 116 to spring anchor 120. Top electrode 106 may then connect to conductive routing, such as a through via 118A, in dielectric layer 101 and substrate 102. In an embodiment, bottom electrode 108 covers a portion of top surface 103 of mesa structure 104 and wraps around sidewall 105B, running underneath mesa structure 104 and gap material 116 to spring anchor 120. Bottom electrode 108 may also connect to conductive routing in dielectric layer 101 and substrate 102, for example a through via 118B. In an embodiment, the portions of top electrode 106 and bottom electrode 108 formed over the top surface 103 of mesa structure 104 are separated by a gap 107. In accordance with an embodiment, electrodes 106/ 108 cover the maximum amount of surface area of the top surface 103 of the mesa structure 104 as possible while remaining within patterning tolerances. Minimizing the 20 amount of free space increases the capacitance and resultant grip pressure that can be achieved by the micro device transfer

The material from which electrodes 106/108 are formed is selected to have a suitable elastic modulus to meet the struc- 25 tural and deflection requirements of the spring arm and also a suitable electrical conductivity to meet the electrical requirements of the electrostatic grip function of the transfer head, according to an embodiment of the invention. A variety of conductive materials including metals, metal alloys, refractory metals, and refractory metal alloys may be employed to form top electrode 106 and bottom electrode 108. In an embodiment, electrodes 106/108 include a high melting temperature metal such as platinum or a refractory metal or platinum, titanium, vanadium, chromium, zirconium, niobium, molybdenum, ruthenium, rhodium, hafnium, tantalum, tungsten, rhenium, osmium, iridium and alloys thereof. Refractory metals and refractory metal alloys generally exhibit higher resistance to heat and wear than other metals. 40 Top electrode 106 and bottom electrode 108 may be from 100 to 1000 nm thick. In an embodiment, electrodes 106/108 are each an approximately 500 Å (0.05 µm) thick layer of titanium tungsten (TiW) refractory metal alloy.

In an embodiment, dielectric layer 114 is formed on the 45 surfaces of spring arm 110 and underlying space 112. In an embodiment, dielectric layer 114 covers the exposed surfaces of the top electrode 106 and bottom electrode 108 forming the spring arm 110. The dielectric layer 114 may also cover other exposed layers on transfer head 100, dielectric layer 101, 50 substrate 102, and gap material 116. In an embodiment, the dielectric layer 114 has a suitable thickness and dielectric constant for achieving the required grip pressure of the micro device transfer head 100, and sufficient dielectric strength to not break down at the operating voltage. The dielectric layer 55 114 may be a single layer or multiple layers. In an embodiment, the dielectric layer 114 is 0.5 µm to 2.0 µm thick, though the thickness may be more or less depending upon the specific topography of the transfer head 100 and underlying mesa structure 104. Suitable dielectric materials may include, 60 but are not limited to, aluminum oxide (Al₂O₃) tantalum oxide (Ta₂O₅), halfnium (HfO₂), and silicon dioxide (SiO₂). In accordance with embodiments of the invention, the dielectric layer 114 possesses a dielectric strength greater than the applied electric field so as to avoid shorting of the transfer head during operation. The dielectric layer 113 can be deposited by a variety of suitable techniques such as chemical vapor

deposition (CVD), atomic layer deposition (ALD) and physical vapor deposition (PVD) such as sputtering. The dielectric layer 114 may additionally be annealed following deposition. In one embodiment, the dielectric layer 114 possesses a dielectric strength of at least 400 V/µm. Such a high dielectric strength can allow for the use of a thinner dielectric layer. Techniques such as ALD can be utilized to deposit uniform, conformal, dense, and/or pin-hole free dielectric layers with good dielectric strength. Multiple layers can also be utilized to achieve such a pin-hole free dielectric layer. Multiple layers of different dielectric materials may also be utilized to form dielectric layer 114. In an embodiment, the underlying electrodes 106/108 include platinum or a refractory metal or refractory metal alloy possessing a melting temperature above the deposition temperature of the dielectric layer material(s) so as to not be a limiting factor in selecting the deposition temperature of the dielectric layer 114.

The materials and dimensions of the components of transfer head 100 are selected to enable deflection of spring arm 110 into space 112 over the working temperature range of the micro device transfer process. In an embodiment, the components and dimensions of spring arm 110 are selected to enable deflection of approximately 0.5 µm into space 112 when the top surface of transfer head 100 is subjected to up to 10 atm of pressure at operating temperatures up to 350° C.

Referring now to FIG. 2, an isometric view of a compliant transfer head 100 is illustrated in accordance with an embodiment of the invention. Certain features and components of the transfer head 100 that are illustrated in FIG. 1 are omitted in FIG. 2 in order to more clearly illustrate other features. For example, the dielectric layer covering electrodes 106/108 has

Spring arm 110 has a thickness T_{SA} , width W_{SA} , and length L_{SA} , according to an embodiment of the invention. The thickrefractory metal alloy. For example, an electrode may include 35 ness T_{SA} of spring arm 110 depends on the elastic moduli and dimensions of the materials and components from which it is formed. In an embodiment, spring arm 110 has a thickness T_{SA} of up to 8 µm. In an embodiment, the width W_{SA} of spring arm 110 is sufficient to accommodate additional spring arm and transfer head elements, such as electrodes 106/108 and mesa structure 104. For example, where a micro device is 3 μm to 5 μm wide, the width W_{SA} of the spring arm may also be $3\,\mu m$ to $5\,\mu m$, and where a micro device is $8\,\mu m$ to $10\,\mu m$ wide, the width W_{SA} of the spring arm may also be 8 μ m to 10 μ m. The length L_{SA} of spring arm 110 is long enough to enable spring arm 110 to deflect into space 112, but less than the pitch of the transfer heads 100 in the transfer head array. In an embodiment, the length L_{SA} of spring arm 110 is $10 \times longer$ than the width W_{SA} . In an embodiment, the length L_{SA} of spring arm 124 may be from $10 \mu m$ to $100 \mu m$.

> Gap material 116 extends laterally from the spring anchor 120 between bottom electrode 108 and top electrode 106, according to an embodiment of the invention. In an embodiment, the thickness T_G of gap material 116 depends on a number of factors, such as the dimensions of the electrodes, the desired amount of deflection of the spring arm, the voltage applied to the electrodes during a pickup operation. In an embodiment, the thickness T_G of gap material **116** is from 2

Mesa structure 104 generates a profile that protrudes away from the surface of gap material 116 so as to provide a localized contact point to pick up a specific micro device during a pick up operation, according to an embodiment of the invention. The height of mesa structure 104 elevates the surface of the transfer head that will contact the micro device to be picked up, enabling targeted pickup of a corresponding micro device in a micro device array. In an embodiment, mesa

q

structure 104 has a height H_M of approximately 1 μ m to 5 μ m, or more specifically approximately 2 μ m. The surface area dimensions ($L_M \times W_M$) of mesa structure 104 may be sized to correspond to the area of the top surface of the micro device to be picked up. The surface area of the mesa structure may account for the thickness of electrodes 106/108 and the dielectric layer (not shown) so that the surface area of the top surface of each micro device transfer head is slightly larger, approximately the same, or less than the surface area of the top surface of the each micro device in the corresponding micro device array. In an exemplary embodiment, the length L_M and width W_M of mesa structure 104 are each from 2 μ m to 7 μ m in order to achieve a top surface of the transfer head 100 having length and width dimensions that are each from 3 μ m to 10 μ m.

The electrodes 106/108 may cover the maximum amount of the surface area of the top surface 103 of the mesa structure 104 as possible while still preserving gap 107 between top electrode 106 and bottom electrode 108. The minimum amount of separation distance may be balanced by considerations for maximizing surface area, while avoiding electrical breakdown between the electrodes. For example, gap 107 may be 0.5 μ m or less, and the minimum separation distance may be limited by the thickness of the electrodes. It is to be 25 appreciated that the mesa array may have a variety of different pitches, and that embodiments of the invention are not limited to the exemplary 7 μ m×7 μ m top surface 103 of the mesa structure 104 in a 10 μ m pitch.

FIGS. 3A-3B are isometric view illustrations of an array of 300A/300B of micro device transfer heads 100 with a compliant bipolar electrode as previously described with regard to FIGS. 1-2. For purposes of clarity, certain elements of the transfer head have not been illustrated, for example, the dielectric layer covering the top surface of each mesa structure. In FIG. 3A, each transfer head 100 in transfer head array 300A is deflectable into an underlying space 112, according to an embodiment of the invention. FIG. 3B illustrates an embodiment of a transfer head array 300B where each transfer head 100 is deflectable into underlying trench 332 that extends underneath multiple transfer heads 100.

Each top electrode 106 of a transfer head 100 is coupled to a bus line 330, according to an embodiment of the invention. Each bottom electrode 108 may also be coupled to a separate 45 bus line (not illustrated). In an embodiment, bus line 330 is connected to additional routing within the dielectric layer 101 and substrate 102. It is to be appreciated that additional conductive routing or different routing configurations may be used to control the transfer heads 100. In an embodiment, a 50 conductive ground plane (not shown) is formed over the dielectric layer 101, surrounding the array of transfer heads 100 to assist in the prevention of arcing between transfer heads, particularly during the application of high operating voltages.

FIGS. 4A-4B are cross-sectional side view illustrations of an array of micro device transfer heads, taken along the line A-A' in FIG. 3, shown picking up an array of micro devices in accordance with an embodiment of the invention. In FIG. 4A, an array of transfer heads 100 is positioned above an array of micro LED devices 400 on carrier substrate 401, according to an embodiment. In the particular embodiment illustrated, the pitch P_{TH} of the array of transfer heads 100 is an integer multiple of the pitch P_{MD} of the micro LED devices 400, with the pitch P_{TH} of the array of transfer heads being the sum of 65 the spacing S_{TH} between the top surfaces 115 of adjacent transfer heads 100 and the width W_{TH} of the top surface 115

10

of a transfer head 100. In another embodiment, the pitch P_{TH} of the transfer heads is equal to the pitch P_{MD} of the micro LED devices.

In one embodiment, the array of micro LED devices 400 have a pitch P_{MD} of 10 μm , with each micro LED device having a spacing S_{MD} of 2 µm and a maximum width W_{MD} of $8\ \mu m.$ In an exemplary embodiment, the top surface of the each micro LED device 400 has a width W_{MD} of approximately $8 \ \mu m$. In such an exemplary embodiment, the width W_{TH} of the top surface 115 of a corresponding transfer head 100 is approximately 10 µm or smaller so as to avoid making inadvertent contact with an adjacent micro LED device. In another embodiment, the array of micro LED devices $400\,$ may have a pitch P_{MD} of 5 $\mu m,$ with each micro LED device having a spacing S_{MD} of 2 µm and a maximum width W_{MD} of 3 μm. In an exemplary embodiment, the top surface of the each micro LED device 400 has a $W_{M\!D}$ width of approximately 3 µm. In such an exemplary embodiment, the width W_{TH} of the top surface 115 of a corresponding transfer head 100 is approximately 3 μm or smaller so as to avoid making inadvertent contact with an adjacent micro LED device 400. However, embodiments of the invention are not limited to these specific dimensions, and may be any suitable dimen-

FIG. 4B is a side view illustration of the array of micro device transfer heads picking up a portion of an array of micro LED devices 400 in accordance with an embodiment of the invention. In a particular embodiment illustrated in FIG. 4B, every transfer head 100 in the array is activated to pick up a micro LED device 400. In another embodiment, transfer heads 100 may be selectively activated, such that a subset of the array of transfer heads 100 picks up a micro LED device 400.

The portion of the array of micro devices may then be released onto at least one receiving substrate to complete the transfer process. Thus, the array of micro LED devices can all be released onto a single receiving substrate, or selectively released onto multiple substrates. For example, the receiving substrate may be, but is not limited to, a display substrate, a lighting substrate, a substrate with functional devices such as transistors or ICs, or a substrate with metal redistribution lines.

FIGS. 5A-5B each illustrate an embodiment of a micro device transfer head incorporating a sensor. Sensors can serve a variety of purposes during operation of the transfer head. For example, where a sensor is used to measure an amount of deflection of the transfer head, this information can be used to determine if (1) contact has been made with a micro device to be picked up, (2) contamination is present on the micro device, or alternatively the micro device has been damaged or deformed, or (3) whether a micro device has been picked up.

FIG. 5A illustrates a cross sectional side view of a transfer head comprising a strain sensor 540, according to an embodiment of the invention. In an embodiment, strain sensor 540 is 55 a strain gauge capable of measuring the amount of deflection of spring arm 110 into space 112. When the top surface 115 of transfer head 100 contacts the surface of a micro device during a pick up operation, it may deflect some amount in response to the contact pressure. By measuring the amount of deflection of a spring arm 110 and comparing it to the amount of deflection known to indicate optimum contact with a micro device surface, strain sensor 540 can indicate whether the top surface 115 of transfer head 100 has contacted the top surface of a micro device in an array and as such is ready to execute a pick up operation. Detection of too little deflection may indicate that a micro device is absent from that position in the array, while detection of too much deflection may indicate

separation or incomplete contact between the surface of the micro device and the surface of the transfer head due to either the presence of contamination particles or an otherwise damaged or deformed micro device. In both cases, a voltage may not be applied to the transfer head so as not to attempt to pick up the absent or damaged micro device. In the case where contamination is detected, a cleaning operation may be applied to the transfer head, micro device, or their respective array prior to reattempting the pick up operation.

In another embodiment, strain sensor **540** is capable of measuring a resonant frequency of spring arm **100**. For example, a resonant frequency of spring arm **110** may be measured upon contacting the transfer head on a bare substrate and lifting off of the bare substrate without a micro device. A different resonant frequency of spring arm **110** may be measured upon contacting the transfer head to a micro device and picking up the micro device. As such, the strain sensor **540** is capable of detecting whether a micro device has been picked up, due to differences in the resonant frequency when a micro device is or is not present.

In an embodiment, strain sensor 540 is formed on dielectric layer 114 over the interface of spring anchor 120 and spring arm 110. In an embodiment, dielectric layer 114 electrically isolates sensor 540 from top electrode 106. When spring arm 25 110 deflects into space 112, the strain along spring arm 110 is not uniform; spring arm 110 experiences the maximum amount of strain at the interface with spring anchor 120. In an embodiment, strain sensor 540 spans the interface of spring anchor 120 and spring arm 110, so as to be subject to the 30 maximum amount of stress associated with the deflection of spring arm 110.

In an embodiment, strain sensor **540** includes a piezoelectric material. A piezoelectric material accumulates charge in response to an applied mechanical stress. The accumulation 35 of charge along strained surfaces of a piezoelectric sensor can generate a measurable voltage related to the amount of strain. As such, as spring arm **110** deflects into space **112**, the voltage between the upper and lower surface of the strain sensor increases as the strain at the interface of spring anchor **120** and spring arm **110** increases, enabling calculation of the amount of deflection of spring arm **110**. Piezoelectric materials include, for example, crystalline materials such as quartz and ceramic materials such as lead zirconate titanate (PZT).

In another embodiment, strain sensor **540** is formed from a piezoresistive material. The electrical resistivity of a piezoresistive material changes in response to an applied mechanical stress. As such, strain sensor **540** may be subject to an electrical current, so that when spring arm **110** deflects into space **112**, the electrical resistivity of strain sensor **540** increases as the strain at the interface of spring anchor **120** and spring arm **110** increases, causing a measurable increase in the voltage across the sensor. The amount of deflection can be calculated from the changes in voltage. Piezoresistive materials include, for example, polycrystalline silicon, amorphous silicon, 55 monocrystalline silicon, or germanium.

In an embodiment, spring arm 110 oscillates at a resonant frequency due to contact with a bare substrate or a micro device, as discussed above. In an embodiment, the oscillation results in a correspondingly oscillating amount of strain at the 60 interface of spring anchor 120 and spring arm 110, which can be detected by strain sensor 540. The differences in oscillating strain for a spring arm 110 that has contacted a bare substrate versus a spring arm 110 that has picked up a micro device enable the use of a piezoelectric or piezoresistive strain 65 sensor 540 to determine if a transfer head has successfully picked up a micro device during a pickup operation.

12

Referring to FIG. 5B, a sensor electrode 542 is formed on substrate 102, according to an embodiment of the invention. In an embodiment, sensor electrode 542 is formed within space 112, in alignment with mesa structure 104. In an embodiment, a layer of dielectric material 544 isolates sensor electrode 542 from semiconductor substrate 102. In another embodiment, sensor electrode 542 is formed directly on base substrate 102. In an embodiment, dielectric layer 114 covers opposing electrode 542.

In an embodiment, sensor electrode 542 and bottom electrode 108 function together as a capacitive sensor. The capacitance between two parallel conductors increases as the distance between the conductors decreases. In an embodiment, a voltage is applied across sensor electrode 542 and bottom electrode 108. As the spring arm 110 is depressed within space 112 toward base substrate 102, the distance between electrodes 542 and 108 decreases, causing the capacitance between them to increase. In this manner, the amount of deflection of spring arm 110 can be calculated from changes in the capacitance between electrodes 542 and 108 across dielectric layer 114 and space 112. Dielectric 114 prevents shorting between the electrodes in the case where spring arm 110 is fully depressed within space 112. Sensor electrode 542 may be formed from any suitable conductive material, such as those discussed above with respect to electrodes 106 and 108.

In another application, sensor electrode 542 may be used to measure the resonant frequency of spring arm 110. As discussed above, in an embodiment, spring arm 110 oscillates at a resonant frequency determined in part by the weight of elements forming spring arm 110. The oscillation may result in a corresponding oscillating capacitance between sensor electrode 542 and bottom electrode 108. After a micro device has been picked up by the transfer head, the additional weight of the micro device may change the resonant frequency of spring arm 110, resulting in changes in the oscillating capacitance as measured by electrodes 542 and 108. In this manner, electrodes 542 and 108 may be used to determine if a transfer head has successfully picked up a micro device during a pickup operation. Additionally, it may be determined whether to apply a cleaning operation to the transfer head array or micro device array prior to reattempting a pick up operation.

FIGS. 6A-6I illustrate a method for forming a micro device transfer head using a negative lift-off technique, according to an embodiment of the invention. In an embodiment, a substrate 602 having a dielectric layer 601 formed thereon is provided, as shown in FIG. 6A. Substrate 602 and dielectric layer 601 have the characteristics discussed above with respect to substrate 102 and 101, respectively. In an embodiment, base substrate 602 is silicon and dielectric layer 601 is silicon dioxide. Dielectric layer 601 and base substrate 602 may include routing (not shown) to control the subsequently formed transfer head. The thickness of dielectric layer 601 will correspond to the thickness of the subsequently formed space between the spring arm and the base substrate, and as such is chosen to enable the desired degree of deflection of the transfer head. In an embodiment, dielectric layer 601 is 0.5-2 um thick

Next, in FIG. 6B, first pattern 640 is formed on the surface of dielectric layer 601 to define a portion of the bottom electrode of the transfer head. In an embodiment, first pattern 640 is formed in the areas where electrode metal is not desired. For example, first pattern 640 may be formed along the perimeter of what will be the length and width of the spring arm portion of the transfer head. In an embodiment, first pattern 640 is formed from photoresist.

In FIG. 6C, first electrode layer 650 is formed over the surface of dielectric layer 601 and first pattern 640, according

to an embodiment of the invention. First electrode layer **650** may be formed from any metal or conductive material suitable for forming the electrode elements of the spring arm of a transfer head, as discussed above with respect to materials for electrodes **106** and **108**. First electrode layer **650** may be 5 formed using any appropriate method, such as plating, CVD, or PVD. In an embodiment, first electrode layer **650** is formed by sputter deposition. First electrode layer **650** may be from 0.1-1 μ m thick. In an embodiment, first electrode layer **650** is a 500 Å thick layer of TiW. In an embodiment, first pattern 10 **640** may then be removed along with the portion of first electrode layer **650** formed thereon.

Referring now to FIG. 6D, a template is formed over first electrode layer 650. In an embodiment, the template includes gap material 616 and mesa structure 604. Mesa structure 604 is may be formed from the same or different material than gap material 616. In one embodiment, mesa structure 604 is integrally formed with gap material 616. In an embodiment, the materials filling gap material 616 and mesa structure 604 are selected to be resistant to other processes used to define the 20 transfer head. In another embodiment, the materials filling gap material 616 and mesa structure 604 are selected to be removed during subsequent processing to form the transfer head.

Gap material 616 and mesa structure 604 may each be 25 formed from a variety of materials, including photoresist, polyimide, amorphous silicon, polysilicon, or dielectric materials, for example inorganic dielectrics such as silicon dioxide and silicon nitride, or organic dielectrics such as benzocyclobutene (BCB). For example, where gap material 30 616 and mesa structure 604 are formed from a dielectric such as silicon dioxide or silicon nitride, a layer of dielectric may first be blanket deposited over first electrode layer 650 and then patterned by any appropriate method known in the art to form the template comprising gap material 616 and mesa 35 structure 604. In an embodiment, anisotropic etching techniques can be utilized to form tapered sidewalls for mesa structure 604. In another embodiment, gap material 616 and mesa structure 604 are defined using a photoresist material that is hard-baked to resist subsequent processing to form the 40 transfer head. In another embodiment, gap material 616 and mesa structure 604 are formed from photo-defined polyim-

The dimensions of the gap material **616** and mesa structure **604** are determined by the desired dimensions of the top 45 surface of the transfer head after the formation of additional device components, such as the electrodes and the dielectric layer, as described above with respect to FIGS. **1-2**. In an embodiment, gap material **616** is 2 to 5 μ m thick. In an embodiment, mesa structures **604** are $7 \mu m \times 7 \mu m$ wide and 2 50 μ m high.

Next, in FIGS. 6E-6G, a negative patterning technique is used to form additional components of the electrodes. In FIG. 6E, second pattern 652A/652B is formed on mesa structure 604, according to an embodiment of the invention. In an 55 embodiment, second pattern 652A defines the space separating the two electrodes to be formed on top of mesa structure 604. In an embodiment, second pattern 652B defines what will be the end of the spring arm portion of the transfer head.

Referring to FIG. 6F, second electrode layer 654 is blanket 60 deposited over the top surfaces of the template comprising gap material 616, mesa structure 604, and second pattern 652A/652B, according to an embodiment of the invention. Similarly to first electrode layer 654, second electrode layer 654 may be formed using a non-conformal method, such as 65 PVD. In an embodiment, second electrode layer 654 is formed from the same material as first electrode layer 650.

14

Second electrode layer 654 may be from 0.1 to 1 μ m thick. In an embodiment, second electrode layer 650 is a 500 Å thick layer of TiW.

Next, in FIG. 6G, second pattern 652A/652B is removed, along with the portions of second electrode layer 654 formed thereon, according to an embodiment of the invention. In an embodiment, removal of second pattern 652A/652B defines top electrode 606 from second electrode layer 654. A bottom electrode 608 is defined by the remaining portion of first electrode layer 650 and a portion of the second electrode layer, according to an embodiment. In an embodiment, bottom electrode 608 runs underneath mesa structure 604 and wraps around and over mesa structure 604. In an embodiment, the removal of second pattern 652A defines space 607 between top electrode 606 and bottom electrode 608. In a case where the template comprising gap material 616 and mesa structure 604 is formed from photoresist, the photoresist template may also be removed during the process used to remove second pattern 652A/652B.

Referring to FIG. 6H, a portion of dielectric layer 601 is then etched to form space 612, according to an embodiment of the invention. In an embodiment, dielectric layer 601 material is laterally etched from underneath bottom electrode 608 to create spring arm 610 of a desired length. An amount of dielectric layer 601 material may remain on the surfaces of bottom electrode 608 and/or substrate 602 after the formation of space 612. Spring arm 610 is attached to dielectric layer 601 via spring anchor 620. Dielectric layer 601 material may be removed by any appropriate method, for example, a timed wet etch process. In an embodiment, space 612 is formed by using a wet etch process that is selective to dielectric layer 601 material over bottom electrode 608 material and substrate 602 material. In an embodiment, the process used to remove dielectric material 601 to create space 612 also removes the dielectric material forming the template comprising gap material 616 and mesa structure 604, so that gap material 616 and mesa structure 604 are air-filled. In an embodiment, the process used to remove dielectric material is precisely controlled to laterally etch underneath bottom electrode 608 to form spring arm 610 without etching portions of dielectric material that are critical to the transfer head structure. In another embodiment, the dielectric material forming the template comprising gap material 616 and mesa structure 604 has been selected so that it is not etched by the process used to remove a portion of dielectric layer 601.

Dielectric layer 614 is then conformally deposited over the surfaces of spring arm 610 and underlying space 612, as shown in FIG. 6I, according to an embodiment of the invention. In an embodiment, dielectric layer 614 conforms to the surfaces of electrodes 606/608 and the surfaces of dielectric layer 601 and substrate 602 exposed within space 612. In an example embodiment where mesa structure 604 and gap material 616 are air-filled, dielectric layer 614 may also form on the surfaces of top electrode 606 and bottom electrode 608 that are internal to the spring arm 610. In an embodiment, dielectric layer 614 has a suitable thickness and dielectric constant for achieving the required grip pressure of the micro device transfer head, and sufficient dielectric strength to not break down at the operating voltage. Dielectric layer 614 may be a single layer or multiple layers. Suitable dielectric materials may include, but are not limited to, Al₂O₃, Ta₂O₅, HfO₂, and SiO₂ as described above with respect to dielectric layer 114. In an embodiment, dielectric layer 614 is from 0.5 to 1 μm thick. In an embodiment, dielectric layer **614** is a 0.5 μm thick layer of Al₂O₃. In an embodiment, dielectric layer 614 is deposited by atomic layer deposition (ALD).

FIGS. 7A-7G illustrate a method for forming a micro device transfer head, according to an embodiment of the invention. In an embodiment, a substrate 702 is provided, having dielectric layer 701 and first electrode layer 750 formed thereon, as shown in FIG. 7A. In an embodiment, 5 substrate 702, dielectric layer 701, and first electrode layer 750 may each have the characteristics discussed above with respect to substrate 602, dielectric layer 601, and first electrode layer 650, respectively. In an embodiment, base substrate 702 is silicon, dielectric layer 701 is silicon dioxide, and 10 first electrode layer 750 is TiW. Dielectric layer 701 may be from 0.5-2 μm thick. First electrode layer 750 may be from 100 to 1000 nm thick.

Referring to FIG. 7B, a template comprising gap material 716 and mesa structure 704 is formed over first electrode 15 layer 750. The materials and dimensions of gap material 716 and mesa structure 704 may be as for gap material 116/616 and mesa structure 104/604, respectively, discussed above. The materials used to form gap material 716 and mesa structure 704 may be selected to either be resistant to subsequent 20 fabrication processes or, alternatively, to be removed during subsequent processes. In an embodiment where gap material 716 and mesa structure 704 are formed from the same material as dielectric layer 701, first electrode layer 750 protects the surface of dielectric layer 701 during the etching processes that define gap material 716 and mesa structure 704.

Next, in FIG. 7C, second electrode layer **754** is formed, according to an embodiment of the invention. In an embodiment, second electrode layer **754** is blanket deposited over the exposed top surfaces of gap material **716**, mesa structure **704**, 30 and dielectric layer **701** according to an embodiment of the invention. Second electrode layer **754** may be formed by any appropriate method, such as CVD or PVD. Second electrode layer **754** is formed from any conductive material suitable for the formation of electrodes, as discussed above with respect 35 to second electrode layer **654**.

Next, first electrode layer **750** and second electrode layer **754** are patterned to form top and bottom electrodes, according to an embodiment of the invention. In FIG. 7D, pattern **756A/756B** is formed over second electrode layer **754**, 40 according to an embodiment. In an embodiment, pattern **756A** covers the portion of second electrode layer **754** that will form the top electrode. In an embodiment, pattern **756B** covers the portion of second electrode layer **754** that will form a portion of the bottom electrode. Pattern **756A/756B** may be 45 formed from any suitable material, such as a photoresist or hardmask material.

The portions of second electrode layer 754 and first electrode layer 750 that are not protected by pattern 756A/756B are then etched to define top electrode 706 and bottom electrode 708, according to an embodiment. Second electrode layer 754 and first electrode layer 750 may be etched by any appropriate method. In an embodiment, a wet etch having a selectivity of at least 10:1 for the material forming electrode layers 750/754 over the material forming the mesa structure 55 704 is used. In an embodiment, the wet etch used to pattern electrode layers 750/754 has a selectivity of at least 10:1 for the material forming electrode layers 750/754 over the material forming electrode layers 750/754 over the material forming dielectric layer 701.

Pattern **756**A/**756**B may then be removed by an appropriate method, as shown in FIG. **7**E. In an embodiment, the process used to remove pattern **756**A/**756**B also removes the material filling gap material **716** and mesa structure **704**. For example, where the template including gap material **716** and mesa structure **704** is formed from photoresist, the process 65 that removes pattern **756**A/**756**B may also remove the photoresist filling gap material **716** and mesa structure **704**. In

16

another embodiment, the material filling gap material 716 and mesa structure 704 is not removed by the process used to remove pattern $756 \mathrm{A}/756 \mathrm{B}$.

Next, as shown in FIG. 7F, a portion of dielectric layer 701 is removed from between bottom electrode 708 and substrate 702 to form space 712, according to an embodiment of the invention. In an embodiment, dielectric layer 701 is laterally etched underneath bottom electrode 708 to form space 712 and spring arm 710, which is suspended above space 712 and attached to dielectric layer 701 at spring anchor 720. Dielectric layer 701 may be removed by any appropriate process, such as a timed wet etch. As discussed above with respect to FIG. 6H, in an embodiment, where the material forming the template comprising gap material 716 and mesa structure 704 is a dielectric material, the process used to remove a portion of dielectric layer 701 may also remove all or a portion of the dielectric material filling the template, forming a hollow spring arm 710. In an embodiment, the process used to remove dielectric material is precisely controlled to laterally etch underneath bottom electrode 708 to form spring arm 710 without etching dielectric material that is critical to the transfer head structure.

Referring to FIG. 7G, dielectric layer 714 is then conformally deposited over the surfaces of the spring arm 710 and underlying space 712. In an embodiment, dielectric layer 714 conforms to the surfaces of electrodes 706/708 and the surfaces of dielectric layer 701 and substrate 702 exposed within space 712. In an example embodiment where mesa structure 704 and gap material 716 are air-filled, dielectric layer 714 may also form on the surfaces of top electrode 706 and bottom electrode 708 that are internal to the spring arm 710. In an embodiment, the dielectric layer 714 has a suitable thickness and dielectric constant for achieving the required grip pressure of the micro device transfer head, and sufficient dielectric strength to not break down at the operating voltage. The dielectric layer 714 may be a single layer or multiple layers. Suitable dielectric materials may include, but are not limited to, Al₂O₃, Ta₂O₅, HfO₂, and SiO₂ as described above with respect to dielectric layer 114. In an embodiment, dielectric layer 714 is from 0.5 to 1 µm thick. In an embodiment, dielectric layer 714 is a 0.5 µm thick layer of Al₂O₃. In an embodiment, dielectric layer 714 is deposited by atomic layer deposition (ALD).

In utilizing the various aspects of this invention, it would become apparent to one skilled in the art that combinations or variations of the above embodiments are possible for forming a micro device transfer head and head array, and for transferring a micro device and micro device array. Although the present invention has been described in language specific to structural features and/or methodological acts, it is to be understood that the invention defined in the appended claims is not necessarily limited to the specific features or acts described. The specific features and acts disclosed are instead to be understood as particularly graceful implementations of the claimed invention useful for illustrating the present invention.

What is claimed is:

- 1. A micro device transfer head comprising:
- a base substrate;
- a spring anchor coupled to the base substrate;
- a spring arm coupled to the spring anchor, the spring arm including:
 - a mesa structure suspended over the base substrate;
 - a top electrode extending laterally from the spring anchor and over the mesa structure; and
 - a bottom electrode extending laterally from the spring anchor underneath the mesa structure and wrapping

around and over the mesa structure, wherein the top electrode and the bottom electrode are electrically isolated from each other; and

- a dielectric layer covering the top electrode and the bottom electrode over the mesa structure.
- 2. The micro device transfer head of claim 1, wherein the spring arm further comprises a template defined by the top electrode and the bottom electrode, wherein the template comprises the mesa structure.
- 3. The micro device transfer head of claim 2, wherein the 10 template is filled with a dielectric material.
- **4**. The micro device transfer head of claim **2**, wherein the template is filled with air.
- 5. The micro device transfer head of claim 1, wherein the top electrode and the bottom electrode are metal.
- **6**. The micro device transfer head of claim **1**, wherein the spring arm is deflectable into an underlying space.
- 7. The micro device transfer head of claim 6, wherein the spring arm is coupled to the base substrate via a layer of dielectric material, and wherein the underlying space is a 20 cavity in the dielectric layer.
- **8**. The micro device transfer head of claim **6**, further comprising a sensor electrode on a bottom surface of the underlying space, wherein the sensor electrode is vertically aligned with the mesa structure.
- 9. The micro device transfer head of claim 6, wherein the dielectric layer conforms to the spring arm and the underlying space.
- 10. The micro device transfer head of claim 9, further comprising a strain sensor formed over a portion of the dielectric layer covering an interface of the spring anchor and the spring arm.
- 11. The micro device transfer head of claim 1, wherein the top surface of the transfer head has a length from 1 to 100 μ m and a width from 1 to 100 μ m.
- 12. The micro device transfer head of claim 1, further comprising routing in the base substrate, wherein the routing is electrically connected to the top electrode and the bottom electrode.
- 13. The micro device transfer head of claim 12, wherein the 40 routing comprises through vias in the base substrate.

18

- 14. The micro device transfer head of claim 1, wherein the top electrode and bottom electrode are form a bipolar electrode on a top surface of the mesa structure.
 - **15**. A micro device transfer head array comprising: a base substrate; and
 - an array of transfer heads, each transfer head including: a spring anchor coupled to the base substrate;
 - a spring arm coupled to the spring anchor, the spring arm including:
 - a mesa structure suspended over the base substrate;
 - a top electrode extending laterally from the spring anchor and over the mesa structure; and
 - a bottom electrode extending laterally from the spring anchor underneath the mesa structure and wrapping around and over the mesa structure, wherein the top electrode and the bottom electrode are electrically isolated from each other; and
 - a dielectric layer covering the top electrode and the bottom electrode over the mesa structure.
- 16. The micro device transfer head array of claim 15, wherein each spring arm is deflectable into an underlying space.
- 17. The micro device transfer head array of claim 16, wherein the underlying space is a trench extending underneath a plurality of transfer heads.
 - 18. The micro device transfer head array of claim 15, further comprising routing in the base substrate, wherein the routing is electrically connected to the top electrode and bottom electrode of each transfer head.
 - 19. The micro device transfer head of claim 18, wherein the routing comprises through vias in the base substrate.
 - 20. The micro device transfer head array of claim 15, further comprising a conductive ground plane formed over the dielectric layer and surrounding each of the transfer heads.
 - 21. The micro device transfer head of claim 15, wherein the top electrode and bottom electrode of each transfer head form a bipolar electrode on a top surface of the mesa structure.

* * * * *



		集成电极引线	符合标准的微型器件转移头,带有	专利名称(译)
	2014-07-29	公开(公告)日	<u>US8791530</u>	公开(公告)号
	2012-09-06	申请日	US13/605959	申请号
			BIBL ANDREAS 戈尔达DARIUSZ	[标]申请(专利权)人(译)
			BIBL,ANDREAS 戈尔达,DARIUSZ	申请(专利权)人(译)
			苹果公司.	当前申请(专利权)人(译)
			BIBL ANDREAS GOLDA DARIUSZ	[标]发明人
			BIBL, ANDREAS GOLDA, DARIUSZ	发明人
			H01L27/14	IPC分类号
381C99/002	L24/00 B81B2203/0118 B81	21/00 B05D3/10 B05D5/12 H01	B81C1/0015 H01L21/67144 H01L H01L33/0095	CPC分类号
		ZAFMAN LLP	布莱克利SOKOLOFF TAYLOR & Z	代理机构(译)
			阮DUYŤ	审查员(译)
			US20140064904A1	其他公开文献
			Espacenet USPTO	外部链接
	L24/00 B81B2203/0118 B8		戈尔达DARIUSZ BIBL, ANDREAS 戈尔达, DARIUSZ 苹果公司. BIBL ANDREAS GOLDA DARIUSZ BIBL, ANDREAS GOLDA, DARIUSZ H01L27/14 B81C1/0015 H01L21/67144 H01L H01L33/0095 布莱克利SOKOLOFF TAYLOR&Z 阮DUYŤ US20140064904A1	申请(专利权)人(译) 当前申请(专利权)人(译) [标]发明人 及明人 IPC分类号 CPC分类号 代理机构(译) 审查员(译) 其他公开文献

摘要(译)

公开了一种兼容的微器件转移头和头阵列。在一个实施例中,微器件转移头包括具有集成电极引线的弹簧臂,所述集成电极引线可偏转到基础 衬底和弹簧臂之间的空间中。

